THE OLIN FINANCIAL GROUP
of Wells Fargo Advisors

Complimentary Retirement Assessment Questionnaire

PERSONAL DATA

DATE: / /

Name First Last MI

Spouse's Name First Last MI

Home Address

City State Zip

Phone Work Home

Estimated Retirement Date Self Spouse

Date of Birth ` Self Spouse

E-mail Address

EMPLOYMENT INFORMATION

SELF	SPOUSE
Position	Position
Years with Company	Years with Company
Annual Earned Income	Annual Earned Income

Please enclose, along with this questionnaire, a copy of the following:

- ☐ Most recent ***quarterly*** 401(k) statement
- ☐ Lump-Sum estimate for ***Current*** & ***Projected*** values upon retirement

Stock & Bond Portfolio $
(outside company plan)
All statements included? ◯Yes ◯No

Individual Retirement Acct. $
(Traditional, Roth)
All statements included? ◯Yes ◯No

Liquid Investments $
(Money Market, Savings, CDs, etc.)
All statements included? ◯Yes ◯No

Spouses Retirement Plans $
(IRAs, 401(k), etc.)
All statements included? ◯Yes ◯No

RISK TOLERANCE: What do you expect your overall risk level to be during your retirement years?
◯Conservative ◯Moderately Conservative ◯Moderate ◯Moderately Aggressive ◯Aggressive

Note: After you become a client, we will have a much more extensive risk questionnaire for you to complete, and this questionnaire will not be considered an official record of your account. Any new risk profiles or account documentation will supersede this assessment questionnaire.

The Olin Financial Group
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Current Home Value $__________________

Mortgage Balance $__________________

Interest Rate _________%

Estimated Pay-off Date ___________________

Do you intend to sell? ◯ Yes ◯ No

When? _____________

Home Equity Loan Balance $ _____________

Interest Rate _______%

Other Property Value $ ___________________

(Rental, Vacation, Raw Land, etc.)

Mortgage Balance $ ___________________

Interest Rate _________%

Do you intend to sell? ◯ Yes ◯ No

When? _____________

If yes, estimated ***after-tax*** gain $ ____________

Home Equity Loan Balance $ ________________

Interest Rate __________ %

Other Debt $ __________ Interest Rate ________%

(Credit card, Car loan, etc.)

ADDITIONAL INCOME SOURCES

Employment After Retirement $ ____________________ **Beginning Age** ______ **Ending Age** ______
(Annual, Before-tax)

Other Income $ ____________________ **Beginning Age** ______ **Ending Age** ______
(Pension, Rental Income, etc.)
(Annual, Before-Tax)

Adjusted **annually** for inflation? ◯ Yes ◯ No

Spouse's Retirement Benefits $ ____________________ **Beginning Age** ______ **Ending Age** ______
(Pension, Lump-sum, etc.)
(Annual, Before-tax)

Adjusted **annually** for inflation? ◯ Yes ◯ No

Social Security Income $ ____________________ **Beginning Age** ______ **Ending Age** ______
(Monthly - Before Taxes)

Spouses Soc. Security Income $ ____________________ **Beginning Age** ______ **Ending Age** ______
(Monthly - Before Taxes)

Inheritance, legal settlement, etc. $ ____________________ **Beginning Age** ______ **Ending Age** ______
(After Taxes)

Anticipated annual **AFTER-TAX** income needs at retirement from all sources $ ____________

ESTATE PLANNING: Passing on your retirement assets to your beneficiaries is of great importance to some retirees, but not so to others. How important to you is estate planning, or passing on your assets to future generations?

◯ Very Important ◯ Somewhat Important ◯ Not Motivated Either Way ◯ Not Important

Do you have a living trust? ◯ Yes ◯ No Last Updated __________________

Do you have a will? ◯ Yes ◯ No Last Updated __________________

Do you have children? ◯ Yes ◯ No How many?____ Ages? ________