

Complimentary Retirement Assessment Questionnaire

PERSONAL DATA

DATE: / /

Name First Last MI

Spouse's Name First Last MI

Home Address

City State Zip

Phone Work Home

Estimated Retirement Date Self Spouse

Date of Birth Self Spouse

E-mail Address

EMPLOYMENT INFORMATION

SELF

SPOUSE

Position Position

Years with Company Years with Company

Annual Earned Income Annual Earned Income

Please enclose, along with this questionnaire, a copy of the following:

- Most recent **quarterly** 401(k) statement
- Lump-Sum estimate for **Current & Projected** values upon retirement

Stock & Bond Portfolio \$ **Individual Retirement Acct.** \$
(outside company plan) (Traditional, Roth)

All statements included? Yes No All statements included? Yes No

Liquid Investments \$ **Spouses Retirement Plans** \$
(Money Market, Savings, CDs, etc.) (IRAs, 401(k), etc.)

All statements included? Yes No All statements included? Yes No

RISK TOLERANCE: What do you expect your overall risk level to be during your retirement years?
 Conservative Moderately Conservative Moderate Moderately Aggressive Aggressive

Note: After you become a client, we will have a much more extensive risk questionnaire for you to complete, and this questionnaire will not be considered an official record of your account. Any new risk profiles or account documentation will supersede this assessment questionnaire.

Investment and Insurance Products: NOT FDIC Insured / NO Bank Guarantee / MAY Lose Value

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Current Home Value \$ _____

Mortgage Balance \$ _____

Interest Rate _____%

Estimated Pay-off Date _____

Do you intend to sell? Yes No

When? _____

Home Equity Loan Balance \$ _____

Interest Rate _____%

Other Debt \$ _____ Interest Rate _____%

(Credit card, Car loan, etc.)

Other Property Value \$ _____

(Rental, Vacation, Raw Land, etc.)

Mortgage Balance \$ _____

Interest Rate _____%

Do you intend to sell? Yes No

When? _____

If yes, estimated *after-tax* gain \$ _____

Home Equity Loan Balance \$ _____

Interest Rate _____%

ADDITIONAL INCOME SOURCES

Employment After Retirement \$ _____ **Beginning Age** _____ **Ending Age** _____
(Annual, Before-tax)

Other Income \$ _____ **Beginning Age** _____ **Ending Age** _____
(Pension, Rental Income, etc.)
(Annual, Before-Tax)

Adjusted **annually** for inflation? Yes No

Spouse's Retirement Benefits \$ _____ **Beginning Age** _____ **Ending Age** _____
(Pension, Lump-sum, etc.)
(Annual, Before-tax)

Adjusted **annually** for inflation? Yes No

Social Security Income \$ _____ **Beginning Age** _____ **Ending Age** _____
(Monthly - Before Taxes)

Spouses Soc. Security Income \$ _____ **Beginning Age** _____ **Ending Age** _____
(Monthly - Before Taxes)

Inheritance, legal settlement, etc. \$ _____ **Beginning Age** _____ **Ending Age** _____
(After Taxes)

Anticipated annual AFTER-TAX income needs at retirement from all sources \$ _____

ESTATE PLANNING: Passing on your retirement assets to your beneficiaries is of great importance to some retirees, but not so to others. How important to you is estate planning, or passing on your assets to future generations?

Very Important Somewhat Important Not Motivated Either Way Not Important

Do you have a living trust? Yes No Last Updated _____

Do you have a will? Yes No Last Updated _____

Do you have children? Yes No How many? _____ Ages? _____

This questionnaire is for informational gathering purposes only. If you become a client of Wells Fargo Advisors you will receive official account opening documents that will address numerous items including your tolerance for risk. The information contained in the official account opening documents will supersede this questionnaire.

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